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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/630,589	07/29/2003	Vantresa Stücker	USPS-058	1662
28661	7590	11/01/2010		
LEWIS AND ROCA LLP 1663 Hwy 395, Suite 201 Minden, NV 89423			EXAMINER BORISSOV, IGOR N	
			ART UNIT 3628	PAPER NUMBER
			MAIL DATE 11/01/2010	DELIVERY MODE PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

### Office Action Summary

**Application No.**

10/630,589

**Applicant(s)**

STICKLER ET AL.

**Examiner**

IGOR BORISSOV

**Art Unit**

3628

**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --**  
**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

- 1) ☒ Responsive to communication(s) filed on 11 October 2010.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

- 4) ☒ Claim(s) 33-35, 37-41, 45-47, 49-58, 61-63, 81-89, 92-94 and 97-99 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 33-35, 37-41, 45-47, 49-58, 61-63, 81-89, 92-94 and 97-99 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

#### Attachment(s)

- 1) ☐ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-840)
- 3) ☐ Information Disclosure Statement(s) (PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date \_\_\_\_\_
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: \_\_\_\_\_

## **DETAILED ACTION**

### ***Response to Amendment***

Amendment received on 10/11/2010 is acknowledged and entered. Claims 1-32, 48, 64, 80, 95 and 96 have been withdrawn from consideration. Claims 36, 42-44, 59-60, 65-79, 90-91 have been canceled. Claims 33, 49, 81-89 and 92-94 have been amended. Claims 97-99 have been added. Currently, claims 1-35, 37-41, 45-58, 61-64, 80-89, 92-99 are pending in the application.

Claim Rejections under 35 USC § 101 have been withdrawn due to the applicant's amendment.

### ***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

1.

**Claims 33-42, 45-47, 49-58, 61-63, 81-89, 92-94 and 97-98 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kara (US 6,233,568 B1) in view of Manduley et al. (US 5,324,893) further in view of Sansone et al. (US 5,019,991) and further in view of Official Notice as evidenced by Lidow (US 6,889,197).**

Kara teaches a method, system and computer-readable medium having computer-readable instructions embedded therein for causing a computer to implement said method for receiving payment for postage for a parcel, comprising:

Claims 33, 49, and 81,

receiving from the customer mailing information for a parcel, the mailing information comprising customer-determined attributes ( the weight) of the parcel (Figs. 7-8A; C. 5, L. 60-67; C. 19, L. 55-65; C. 20, L. 62-67);

calculating a first postage value based on the customer-determined attributes (C. 5, L. 43-52; C. 9, L. 36-42);

transmitting data to the customer to permit printing by the customer of a mailing label for the parcel, the mailing label including an indication of the first postage value (P. 6, L. 1-2; C. 20, L. 1-3);

charging a customer account for the first postage value and delivering the parcel with the mailing label indicating the first postage value (C. 6, L. 12-21; C. 22, L. 13-19).

Kara does not specifically teach:

that said attributes comprising at least one of height, length, and thickness of the parcel;

determining attributes of the parcel by the delivery system operator;

calculating a second postage value for the parcel based on the operator-determined attributes; and

transmitting a postage payment adjustment amount to the customer account based on a comparison between the first and second postage values.

Manduley et al. teaches a method and system for verifying postage amount, wherein the actual/proper postage due is compared with the amount submitted by a sender (C. 4, L. 58-60). In operation, the geometrical dimensions and weight of the individual mailpieces are obtained and compared with operator inputted dimensions of a sample of the mailpieces batch, the proper postage rate is computed and compared to the amount submitted by a sender, and a postage payment adjustment amount is transmitted to the customer account based on a comparison between the first and second postage values (C. 5, L. 53 - C. 6, L. 8; C. 6, L. 23-35), wherein necessary additional postage levied in the event the discrepancy exceeds a specified value (C. 6,

L. 33-34) indicates "requesting additional payment when the second postage value is greater than the first postage value".

It would have been obvious to one having ordinary skill in the art at the time the invention was made to modify Kara to include transmitting a postage payment adjustment amount to the customer account based on a comparison between the first and second postage values, as disclosed in Manduley et al., because it would advantageously allow to avoid returning short paid mail to the sender where more postage is required.

It would have been obvious to one having ordinary skill in the art at the time the invention was made to modify Kara and Manduley to include transmitting a postage payment adjustment amount to the customer account based on a comparison between the first and second postage values, as disclosed in Manduley et al., because it would advantageously allow to avoid returning short paid mail to the sender where more postage is required.

It would have been obvious to one having ordinary skill in the art at the time the invention was made to modify Kara and Manduley to include that said attributes comprising at least one of height, length, and thickness of the parcel, because it would advantageously allow to facilitate processing batches of mailpieces with enhanced accuracy by relying on important attribute of the sample taken (Manduley et al., C. 5, L. 34-52). And it would have been obvious to one having ordinary skill in the art at the time the invention was made to modify Kara and Manduley to include determining attributes of the parcel by the delivery system operator; calculating a second postage value for the parcel based on the operator-determined attributes; and transmitting a postage payment adjustment amount to the customer account based on a comparison between the first and second postage values, as disclosed in Manduley et al., because it would advantageously allow to avoid returning short paid mail to the sender where more postage is required.

Sansone et al. (Sansone) teaches a method and system for verifying postage amount, wherein the actual/proper postage due is compared with the amount submitted by a sender, and if the postage amount exceeds the determined value, the sender

account is debited to account for the discrepancy (C. 4, L. 5-15; C. 5, L. 15-22). Furthermore, Official Notice is taken that it is old and well known to credit customer's account in the event of overpayment. For example, Lidow discloses crediting a customer's account in the event of an overpayment (C. 24, L. 49-59):

The account of the customer who sent in payment 298 is reviewed for any other outstanding invoices (credit or debit balances) and payment 298 is applied to that customer's account. Finally, at 306, supply chain server 74 determines whether customer 72 made a full payment or overpaid for a given invoice 296. If there was no problem with payment 298, the invoice routine ends. Otherwise, error routine 308 is implemented where either a collection process is initiated based on the customer's past history or a credit is applied to the customer's account in the event of an overpayment.

Therefore, it would have been *prima facie* obvious to one having ordinary skill in the art at the time the invention was made to modify the combination of Kara and Manduley to include debiting customer account if the postage amount is less than the determined value, as disclosed in Sansone, and crediting customer account if the postage amount required exceeds the determined value, because it would advantageously allow to avoid returning mail to the customer when the customer's account has to be adjusted, as specifically stated in Sansone (C. 2, L. 33-35).

Furthermore, said modification of the references would have been obvious to one of ordinary skill in the art at the time of the invention, since the claimed invention is merely a combination of old elements, and in the combination each element merely would have performed the same function as it did separately, and one of ordinary skill in the art would have recognized that the results of the combination were predictable. *KSR*, 127 S.Ct. at 1740, 82 USPQ2d at 1396.

As per negative limitation: "delivering the parcel with the mailing label indicating the first postage value *without referencing* the postage payment adjustment amount", neither reference positively teaches "delivering the parcel with the mailing label indicating the first postage value *with referencing* the postage payment adjustment amount", thereby suggesting said feature.

Claims 34, 35, 50, 51, 82 and 83. Kara discloses that the mailing information is received from the customer over a network (Fig. 1A).

Claims 34, 35, 50, 51, 82 and 83. Ramsden discloses that the mailing information is received from the customer over a network (the Internet) (Fig. 1A).

Claims 52, 84. Kara discloses that the customer-determined attributes include at least one of height, length, weight, thickness, and weight of the parcel (Fig. 8).

Claims 37, 53, and 85. Kara discloses that the mailing information comprises at least one of return address information, destination address information, and payment information (Fig. 8).

Claims 38, 39, 54, and 86. Kara discloses that the mailing information comprises at least one of return address information, destination address information, and payment information (Fig. 8).

Claim 40, 56, 87. Kara discloses that the customer account is a credit card account (C. 31, L. 44).

Claims 41, 57, and 88. Kara discloses that the mailing label further comprises a unique identification code for the parcel (Fig. 3B; C. 9, L. 40-43).

Claims 45-47, 55, 58, 61-63, 89, 92-94, 97-98 same reasoning as applied to independent claims.

**Claim 99 is rejected under 35 U.S.C. 103(a) as being unpatentable over Kara in view of Manduley et al. further in view of Sansone et al. ('991) further in view of Official Notice as evidenced by Lidow and further in view of Sansone et al. (US 6,064,995).**

Claim 99. While Kara's system is configured to detect fraud (C. 7, L. 3-6, 32-35), the combination of Kara, Manduley, Sansone ('991) and Lidow does not specifically teach checking if duplicated postage has been assigned to the parcel, which is disclosed in Sansone ('995) (C. 8, L. 48-54). It would have been prima face obvious to one having ordinary skill in the art at the time the invention was made to modify the combination to include checking if duplicated postage has been assigned to the parcel, as disclosed in Sansone ('995), because it would advantageously allow to locate indicia that appear to be fraudulent, as specifically stated in Sansone ('995).

Alternatively, it would have been prima face obvious to one having ordinary skill in the art at the time the invention was made to modify the combination to include checking if duplicated postage has been assigned to the parcel, as disclosed in Sansone ('995), since the claimed invention is merely a combination of old elements, and in the combination each element merely would have performed the same function as it did separately, and one of ordinary skill in the art would have recognized that the results of the combination were predictable. *KSR*, 127 S.Ct. at 1740, 82 USPQ2d at 1396.



2.

**Claims 33-42, 45-47, 49-58, 61-63, 81-89, 92-94 and 97-98 are rejected under 35 U.S.C. 103(a) as being unpatentable over Ramsden et al. (US 6,105,014) in view of Manduley et al. (US 5,324,893) further in view of Sansone et al. (US 5,019,991) and further in view of Official Notice as evidenced by Lidow (US 6,889,197).**

Ramsden et al. (Ramsden) teaches a method, system and computer-readable medium having computer-readable instructions embedded therein for causing a computer to implement said method for receiving payment for postage for a parcel, comprising:

- Claims 33, 49, and 81,
  - receiving from the customer mailing information for a parcel, the mailing information comprising customer-determined attributes of the parcel, said attributes comprising at least one of height, length, weight and thickness of the parcel (manual input by the customer including the dimensions of the parcel) (C. 20, L. 17-19);
  - calculating a first postage value based on the customer-determined attributes (C. 20, L. 65-67);
  - transmitting data to the customer to permit printing by the customer of a mailing label for the parcel, the mailing label including an indication of the first postage value (C. 21, L. 15-35);
  - charging a customer account for the first postage value (C. 19, L. 17-38; C. 22, L. 43-62);
  - determining attributes of the parcel comprising at least one of height, length, and thickness of the parcel by the delivery system operator (service personnel C. 22, L. 24-27) after the parcel is received by the delivery system operator for delivery (C. 21, L. 39-54).

Ramsden does not specifically teach: transmitting a postage payment adjustment amount to the customer account based on a comparison between the first and second postage values, wherein transmitting the postage payment adjustment amount further comprises:

requesting additional payment when the second postage value is greater than the first postage value, and generating a credit when the second postage value is less than the first postage value.

Manduley et al. teaches a method and system for verifying postage amount, wherein the actual/proper postage due is compared with the amount submitted by a sender (C. 4, L. 58-60). In operation, the geometrical dimensions and weight of the individual mailpieces are obtained and compared with operator inputted dimensions of a sample of the mailpieces batch, the proper postage rate is computed and compared to the amount submitted by a sender, and a postage payment adjustment amount is transmitted to the customer account based on a comparison between the first and second postage values (C. 5, L. 53 - C. 6, L. 8; C. 6, L. 23-35), wherein necessary additional postage levied in the event the discrepancy exceeds a specified value (C. 6, L. 33-34) indicates "requesting additional payment when the second postage value is greater than the first postage value".

It would have been obvious to one having ordinary skill in the art at the time the invention was made to modify Ramsden to include transmitting a postage payment adjustment amount to the customer account based on a comparison between the first and second postage values, as disclosed in Manduley et al., because it would advantageously allow to avoid returning short paid mail to the sender where more postage is required.

Sansone et al. (Sansone) teaches a method and system for verifying postage amount, wherein the actual/proper postage due is compared with the amount submitted by a sender, and if the postage amount exceeds the determined value, the sender account is debited to account for the discrepancy (C. 4, L. 5-15; C. 5, L. 15-22).

Furthermore, Official Notice is taken that it is old and well known to credit customer's account in the event of overpayment. For example, Lidow discloses crediting a customer's account in the event of an overpayment (C. 24, L. 49-59):

The account of the customer who sent in payment 298 is reviewed for any other outstanding invoices (credit or debit balances) and payment 298 is applied to that customer's account. Finally, at 306, supply chain server 74 determines whether customer 72 made a full payment or overpaid for a given invoice 296. If there was no problem with payment 298, the invoice routine ends. Otherwise, error routine 308 is implemented where either a collection process is initiated based on the customer's past history or a credit is applied to the customer's account in the event of an overpayment.

Therefore, It would have been prima face obvious to one having ordinary skill in the art at the time the invention was made to modify the combination of Ramsden and Manduley to include debiting customer account if the postage amount is less than the determined value, as disclosed in Sansone, and crediting customer account if the postage amount required exceeds the determined value, because it would advantageously allow to avoid returning mail to the customer when the customer's account has to be adjusted, as specifically stated in Sansone (C. 2, L. 33-35).

Furthermore, said modification of the references would have been obvious to one of ordinary skill in the art at the time of the invention, since the claimed invention is merely a combination of old elements, and in the combination each element merely would have performed the same function as it did separately, and one of ordinary skill in the art would have recognized that the results of the combination were predictable. *KSR*, 127 S.Ct. at 1740, 82 USPQ2d at 1396.

As per negative limitation: "delivering the parcel with the mailing label indicating the first postage value *without referencing* the postage payment adjustment amount", neither reference positively teaches "delivering the parcel with the mailing label indicating the first postage value *with referencing* the postage payment adjustment amount", thereby suggesting said feature.

Claims 34, 50, 82 Ramsden discloses said computer-implemented method and system wherein the mailing information is received from the customer via computer, thereby suggesting a network (Figs. 11, 12, 15A-15D).

Claims 35, 51, and 83. Ramsden discloses all the limitations of claims 35, 51, 67 and 83, including receiving the mailing information from the customer via the network, except that said information is received over the Internet. Official Notice is taken that the use of the Internet for receiving information is old and well known. The motivation to modify Ramsden to include the use of the Internet for receiving information would be to advantageously arrange the system in a distributed fashion, and presenting at the customer display guiding information which can be controlled from the central location, and promotional information which can be obtained from various remote sources (Ramsden, C. 19, L. 5-15)

Claims 52, 84. Ramsden discloses that the customer-determined attributes include weight of the parcel (Fig. 8).

Claims 37, 53, and 85. Ramsden discloses that the mailing information comprises at least one of return address information, destination address information, and payment information.

Claims 38, 39, 54, and 86. Ramsden discloses that the mailing information comprises at least one of return address information, destination address information, and payment information.

Claim 40, 56, 87. Ramsden discloses that the customer account is a credit card account (C. 15, L. 37; C. 19, L. 17-21).

Claims 41, 57, and 88. Ramsden discloses that the mailing label further comprises a unique identification code for the parcel (bar-code) (C. 21, L. 22-29).

Claims 45-47, 55, 58, 61-63, 89, 92-94, 97-98 same reasoning as applied to independent claims.

**Claim 99 is rejected under 35 U.S.C. 103(a) as being unpatentable over Ramsden et al. in view of Manduley et al. further in view of Sansone et al. ('991) further in view of Official Notice as evidenced by Lidow and further in view of Sansone et al. (US 6,064,995).**

Claim 99. The combination of Kara, Manduley, Sansone ('991) and Lidow teaches all limitations of claim 99, except: checking if duplicated postage has been assigned to the parcel, which is disclosed in Sansone ('995) (C. 8, L. 48-54). It would have been prima face obvious to one having ordinary skill in the art at the time the invention was made to modify the combination to include checking if duplicated postage has been assigned to the parcel, as disclosed in Sansone ('995), because it would advantageously allow to locate indicia that appear to be fraudulent, as specifically stated in Sansone ('995).

Alternatively, it would have been prima face obvious to one having ordinary skill in the art at the time the invention was made to modify the combination to include checking if duplicated postage has been assigned to the parcel, as disclosed in Sansone ('995), since the claimed invention is merely a combination of old elements, and in the combination each element merely would have performed the same function as it did separately, and one of ordinary skill in the art would have recognized that the results of the combination were predictable. *KSR*, 127 S.Ct. at 1740, 82 USPQ2d at 1396.

### ***Response to Arguments***

Applicant's arguments filed 04/04/2010 have been fully considered but they are not persuasive.

In response to applicant's argument that neither of the cited references disclose comparing customer-determined attributes and the dimension weight record to facilitate compensation or debit to a customer, it is noted that Manuley and Ramsden explicitly teach obtaining from the customer parcel attributes including the parcel weight. As per determining compensation to a customer based on the received attributes, See the rejection above.

The remaining applicant's arguments essentially repeat the arguments presented above; therefore, the responses presented by the examiner above are equally applicable to the remaining applicant's arguments.

### ***Conclusion***

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the

shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Igor Borissov whose telephone number is 571-272-6801. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, John W. Hayes can be reached on 571-272-6708. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

/Igor N. Borissov/  
Primary Examiner, Art Unit 3628  
10/28/2010